Streaming from the Air: Enabling Drone-sourced Video Streaming Applications on 5G Open-RAN Architectures

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Abstract—Enabling high data-rate uplink cellular connectivity for drones is a challenging problem, since a flying drone has a higher likelihood of having line-of-sight propagation to base stations that terrestrial UEs normally do not have line-of-sight to. This may result in uplink inter-cell interference and uplink performance degradation for the neighboring ground UEs when drones transmit at high data-rates (e.g., video streaming). We address this problem from a cellular operator’s standpoint to support drone-sourced video streaming of a point of interest. We propose a low-complexity, closed-loop control system for Open-RAN architectures that jointly optimizes the drone’s location in space and its transmission directionality to support video streaming and minimize its uplink interference impact on the network. We prototype and experimentally evaluate the proposed control system on a dedicated outdoor multi-cell RAN testbed, which is the first measurement campaign of its kind. Furthermore, we perform a large-scale simulation assessment of the proposed control system using the actual cell deployment topologies and cell load profiles of a major US cellular carrier. The proposed Open-RAN control scheme achieves an average 19% network capacity gain over traditional BS-constrained control solutions and satisfies the application data-rate requirements of the drone (e.g., to stream an HD video).

Index Terms—UAV Communications, 5G, Open-RAN, Aerial UE, Cellular Networks.

1 INTRODUCTION

Drones [13] (or Unmanned Aerial Vehicles, “UAVs”)-sourced video streaming enables a wide range of new services and applications such as aerial surveillance, infrastructure monitoring, environmental sensing, transportation and delivery of goods, and live broadcast coverage [4], [12], [16], [30], [37]. Employing drones for these applications can significantly lower the chance for human operators’ injuries and reduce the overall operational cost when compared to larger form-factor solutions such as helicopters. To support beyond visual-line-of-sight control, drones will be equipped with on-board cellular user-equipment (UEs). Thanks to the cellular network coverage and support for broadband data-rates, drones will not require a flight operator nearby, but instead will be remotely operated over the Internet at unprecedented distances. At the same time, they will be able to connect to remote broadcast servers and upload live video streams, such as live footage captured via onboard cameras, over the air-to-ground (A2G) cellular links. Unlike traditional terrestrial UEs that are typically situated at pedestrian heights, UAV-based UEs will operate at high altitudes and therefore in LoS condition with multiple (serving and neighboring) cellular base stations (BSs). While this condition is favorable for the drone’s uplink traffic [9], the drone’s transmitted uplink signal will also propagate to multiple neighboring BSs, resulting in severe uplink inter-cell interference and uplink performance degradation for the ground UEs served by these BSs [6]. This problem is exacerbated when the drones transmit at high data-rates, for example, when streaming HD videos. As drone-sourced video streaming is a major application of interest, industries are posing significant pressure on network operators to support high bandwidth drone uplink communications in future 5G-and-beyond networks [1], [3].

The need for drone cellular support in FR1 was first introduced by the 3GPP in release 15 and carried on successive releases for operations in the 5G NR low- and mid-band (i.e., sub-6 GHz) [1]. In the meantime, the O-RAN Alliance, a consortium of industry and academic partners, introduced important innovations for 5G Open-RAN architectures, among which, is the Open-RAN Intelligent Controller (RIC). This new architectural component provides a centralized abstraction of the RAN and facilitates custom control plane functions, e.g., enabling closed-loop control via action and feedback loops between RAN components and their controllers [2]. In this work, we exploit the architectural advantage of Open-RAN architectures and the practicality of using directional transmitters on a UAV to design a closed-loop control system that jointly optimizes the locations and transmission directionality of the UAV to satisfy its application requirements without penalizing the neighboring ground users. Specifically, we employ wide-angle directional transmitters at the drone in uplink, e.g., a small directional antenna or a small phased array [6], [9], [26]. With respect to bulky and power-hungry highly-directional transmitters, e.g., large directional antennas or large phased arrays, this solution is more suitable for small form-factor, battery-powered UAVs. Moreover, this design choice is conservative with respect to the cellular paradigm which does not support high-directionality in FR1 and is compliant with the 5G NR standard which specifies a maximum of 4 antenna elements in uplink in FR1 [1].

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Finally, we envision an application scenario where the UAV is interested in capturing and streaming the live footage of a particular event or object located at a Point of Interest (POI). The drone would contact the control service running at the cellular infrastructure for instructions regarding the optimal aerial location and transmission directionality that satisfy the application’s requirements.

In this article, we make the following contributions:

• To motivate this work, we quantify the ground UEs’ uplink throughput degradation (as much as 20%), in the presence of a drone on a dedicated full-stack cellular testbed. Moreover, we verify how controlling the drones’ location and transmission directionality can improve the overall network performance (up to 28%).

• We mathematically formulate the network control problem of a drone streaming video from a POI. Due to difficulty for the drone to physically relocate and adapt to the fast-changing dynamics of some of the involved network variables (e.g., radio resource scheduling and modulation schemes, which vary at the timescale of milliseconds), we reformulate the original problem using long-term average network information such as cell load and average uplink signal powers. Then, we propose a control system solution for 5G Open-RAN architectures specifically to support drone-sourced video streaming. Our system features low overhead, in-band communication (which makes it 5G compatible) and operates on a drone-initiated request-based approach that guarantees users’ privacy.

• We prototype the proposed control solution in a real outdoor, multi-BS RAN cellular testbed. To the best of our knowledge, this is the first full-stack experimental assessment of intelligent control for cellular-enabled UAVs. Further, we assess the performance of our solution at scale through extensive simulations using the actual BSs topology and cell load profiles from a major US cellular operator for various scenarios. Our experiments demonstrate that our Open-RAN-based control can guarantee the UAV’s UL QoS to steam an HD video while achieving effectiveness (an average 20% network capacity gain), adaptability to changing network conditions, and scalability to large cellular deployments.

The remainder of the paper is organized as follows. Section 2 motivates the need for a solution to the drone-sourced uplink inter-cell interference through real-world measurements. Section 3 formulates the drone-specific network control problem we aim to solve. Section 4 proposes the design of a closed-loop control system for Open-RAN architectures. The proposed approach is assessed through real-world experiments and extensive simulations in Section 5. We summarize the related work in Section 6 and draw the main conclusions in Section 7.

2 Motivational Experiments

To motivate the need for a UAV-specific control solution, we perform a first-of-its-kind measurement campaign on a dedicated outdoor full-stack LTE testbed with a mixed set of aerial and ground UEs. Specifically, we aim to assess the ground UEs’ uplink performance degradation caused by one UAV connected to a different cell, as we vary the UAV’s location, antenna pattern, and transmission directionality. Our LTE-based analysis adopts the same low- and mid-band frequencies designated for drone cellular links in 5G NR (FR1).

2.1 UAV- and Terrestrial-UEs Coexistence

Our testbed consists of three cells (eNBs), each serving one cellular user (UE) as illustrated in Fig. 1. We refer the reader to Section 5.1 for a detailed description of the experimental setup, including the software, hardware, and testing methodology. Here, we analyze three scenarios: Ground UAV: the UAV acts as a traditional ground UE with an obstacle (a big van) positioned to mimic the typical blockage experienced by pedestrians (Fig. 1a). The drone is equipped with an omnidirectional TX, and it should be considered as a traditional ground user for this case. Omnidirectional TX: The UAV-based UE is an aerial user flying higher than the obstacle height, employing a traditional omnidirectional antenna (Fig. 1b). Directional TX: The UAV-based UE is an aerial user flying higher than the obstacle height, employing a wide-angle directional antenna pointing at its serving BS (Fig. 1c).

First, we assess the performance of the overall network in the absence of aerial links, that is when all the UEs are on the ground (Ground UAV). In this case, we do not fly the drone and keep it behind the positioned obstacle (see Fig 1a). For each experiment in this section, we repeat a 10 second-long measurement for 20 times and record the per-user uplink throughput and its standard deviation in Megabits per second (Mbps). The network performance for the first experiment is reported in Fig. 1d (‘Ground’). To better quantify the interference dynamics, we also measure the single-user upper-bound performance measured in isolation, that is, when no other users are present in the network. We report this as the red dashed line in Fig. 1d. When all UEs are on the ground, the average per-user uplink throughput is 2.1 Mbps, while the aggregate network throughput is 6.3 Mbps. Next, we assess the performance of our network...
when flying the UAV-based user. In this scenario, the UAV-based user hovers above the obstacle height, and thus benefits from the LoS condition with multiple eNBs as shown in Fig. 1b. We measure the network performance and report them in Fig. 1d (‘Omnidirectional TX’). The aerial LoS favors the UAV which records 1.76 Mbps (+65% over the ‘Ground’ scenario), however, the performance of UE 2 and UE 3 drop to 2.61 Mbps (−12%) and 1.55 Mbps (−31%), respectively. This scenario degrades the performance of neighboring cells by 20% compared to the ‘Ground’ scenario.

In our third deployment, the UAV flying above the obstacle height is equipped with a steerable directional transmitter (directional antenna) pointed toward the dominant LoS path with the serving eNB (see Fig. 1c). In this case, the average per-user uplink throughput of 2.2 Mbps and the aggregate network performance of 7.6 Mbps as reported in Fig. 1d to the right (‘Directional TX’). Equipping the UAV with a directional transmitter benefits the UAV itself (+25% throughput as compared to the ‘Omnidirectional TX’ scenario) and the other UEs as well (+11% and +60%, respectively). Overall, employing a directional transmitter at the UAV increases the UAV data-rate by 28% and reduces the inter-cell interference by 30% with respect to the ‘Omnidirectional TX’ scenario.

### 2.2 Effect of UAV Parameters

Here, we measure and observe how the performance of the prototyped cellular network varies with the UAV’s location and its transmission directionality. We treat the two cases in isolation.

**Changing the UAV’s Location.** Figs. 2a and 2b report the deployment and performance of the 3-eNB RAN network for the UAV at 5 different locations, connected to eNB 1. As the UAV moves to 5 different locations, UE 2 and UE 3 experienced uplink rate variation of up to 30%. This experiment suggests that the UAV location can be controlled to tune the UAV impact on the rates of the neighboring ground users.

**Changing the UAV’s TX Directionality.** Figs. 2c and 2d show the deployment and performance as we fix the UAV’s location and vary its transmission directions, namely −90°, −45°, 0°, 45°, and 90° with respect to its LOS direction with its serving eNB. The 5 UAV transmission directions correspond to different UE 2 and UE 3 rates, with variations up to 38%. This experiment suggests that the UAV transmission directionality can be controlled to tune the UAV impact on the neighboring ground users’ rate.

To summarize, we have verified the considerable implications of extending cellular support to UAVs through real-world experiments on a dedicated multi-cell RAN testbed (up to 20% throughput degradation to neighboring ground UEs). At the same time, we have seen that changing the location in space of the UAV equipped with a directional transmitter and changing the UAV’s TX directionality can positively impact the performance of ground UEs in the surrounding (up to 38% in uplink). In the next sections, we will study how to intelligently control these parameters to minimize the impact of the UAV uplink transmissions on neighboring ground users and improve the overall uplink network performance.

### 3 Connected-Drone Control Problem

In this section, we formally define and mathematically formulate the specific Connected-Drone Control Problem (CDCP) of a drone intending to stream video content from nearby a Point of Interest (POI). Then, we iterate over the formulation and simplify its parameters to be observable and easily retrievable by an ISP. Finally, we explain how to solve the newly formulated problem via convex optimization.

#### 3.1 CDCP Formulation

According to the 3GPP’s directives which suggest cellular UAV operations in the 5G NR low- and mid-band (i.e., sub-6GHz), we herein consider the signal propagation characteristics of sub-6 GHz spectrum [1]. We consider a small region in a traditional cellular network deployment where a set of Base Stations (BSs) $J$, serve multiple ground cellular users denoted by the set $I$. Specifically, each BS $j \in J$ serves a finite number of users denoted by the set $I_j$, where the different sets $I_j$ are disjoint, i.e., $I_j \cap I_{j'} = \emptyset \forall j \neq j'$. Let us denote the number of UEs served by BS $j$ as $w_j = |I_j|$. Additionally, there is a UAV-based user, denoted by $u$ that is served by BS $j_u \in J$ as illustrated in Fig. 3a. Accordingly, $w_u = |I_u| + 1$ when the UAV is present. At any given time, a cellular UE is allocated a finite number of uplink and downlink Physical Resource Blocks (PRBs) by the 5G BS scheduler. At a given time instant, let us indicate with $x_i$ the number of uplink PRBs allocated to user $i$. Without loss of generality, we assume all the cells operating on the same carrier frequency with bandwidth $B$, and each user $i$ is allocated a bandwidth $B_i$ that equals $x_i$ times the bandwidth of a PRB $B_{PRB}$. Hereafter, all traffic analysis implicitly refers to uplink traffic only.
As per the 5G New Radio (NR) physical layer specification, cellular users’ uplink channel access happens in Cyclic Prefix Orthogonal Frequency-division Multiplexing (CP-OFDM) or Discrete Fourier Transform Spread Orthogonal Frequency Division Multiplexing (DFT-s-OFDM). In this specification, when the cellular users access channels with overlapping frequencies, they interfere with each other as reported in Fig. 3b. As we discussed and experimentally demonstrated in the ‘Ground’ scenario in Section 2, the impact of ground UEs-generated interference on other ground users is marginal as their uplink power to neighboring cells are attenuated by surrounding obstacles such as buildings, vehicles, or trees. It is instead a more serious issue when the interference comes from drones up in the sky.

Let us define $x_{iu}$ as the number of uplink PRBs that user $i$ served by BS $j_i$ is co-scheduled with those of the UAV served by BS $j_u$. The $x_{iu}$ parameters are integer numbers that equal zero when the ground user $i$ has no shared resource allocation with the UAV $u$, e.g., $x_{iu} = 0$ for $i = 1, 6$, and are non-zero positive integers otherwise, e.g., $x_{iu} > 0$ for $i = 2, 3, 4, 5$ (see Fig. 3b). Due to the orthogonality of intra-cell scheduling decisions, it is guaranteed that $x_{iu} = 0$ for all the users that are in the same cell $j_u$ serving the UAV, e.g., for $i = 1$, while $x_{iu} > 0$ for at least one user $i$ served by the cell $j_i \neq j_u$ that is, $\sum_{i \in I} x_{iu} > 0 \forall j \neq j_u \in \mathcal{J}$.

Last, we normalize the coefficients $x_{iu}$ as $\hat{x}_{iu} = x_{iu}/x_i$. The coefficients $\hat{x}_{iu} \in [0, 1]$ represent the band share that user $i$ has with the UAV $u$. Conversely, $\hat{x}_{ui} = x_{ui}/x_u$ represent the UAV band share with the ground user $u$.

Let us define the uplink signal power of user $i$ received at the serving BS $j_i$ as $P^u_i$. Similarly, we define the UAV received signal power at its serving BS $j_u$ as $P^u(l, \delta)$, function of the UAV’s location $l$ and the UAV’s serving BS’s location $l_u$ (with $l, l_u \in \mathcal{L}$, where $\mathcal{L}$ is the set of possible 3D locations in space) and of the UAV’s 3D transmission direction $\delta \in (\delta_a, \delta_c)$, where $\delta_a$ and $\delta_c$ are the azimuth and elevation directions). Similarly, $P^u_j(l, \delta)$ denotes the UAV’s uplink interference power at cell $j \neq j_u$ as a function of $l, l_j$ and $\delta = (\delta_a, \delta_c)$. The received UAV’s power at a BS $j$ is calculated through

$$P_j^u(l, \delta) = P_{TX}^u - L_{PL}(l, l_j) + G_{Dr}(\delta, l_j)$$

where $P_{TX}^u$ is the UAV transmitted power (maximum 23dBm for 5G UEs), $G_{Dr}(\delta, l_j)$ is the angular gain of the employed directional transmitter with respect to the BS’s $j$ location $l_j$, and $L_{PL}(l, l_j)$ is the uplink Air-to-Ground (A2G) path-loss attenuation among the two locations $l$ and $l_j$. The latter is inclusive of effects such as BS antenna’s patterns, vertical coverage, and cells’ down-tilting as well as height-dependent losses, cell sectorization, and drone fluctuations.

Here, we report the formulation described in [5]

$$L_{PL}(l, l_j) = a_1 \log_{10}(d) + \beta + X_u$$

where $d$ is the distance between the UAV and the BS location $l_j$, while $a_1$, $\beta$, and $\sigma$ are the UAV’s height-dependent path-loss exponent, the intercept point with the $d = 1$ m line, and the standard deviation of the normally distributed shadowing $X$, respectively. The reader is referred to [5] for further details. Last, let $N$ be the Noise Power Spectral Density (NPSD). Accordingly, the uplink Signal-to-Interference-plus-Noise-Ratio (SINR) for user $i$ served by cell $j$ is denoted by $\text{SINR}_i = P^u_i/(N B_u + P^u J \hat{x}_{ui})$.

As we consider the uplink interference from ground UEs to the UAV negligible, the UAV’s SINR can be calculated as $\text{SINR}_u = P^u_i/N B_u$. Consequently, the uplink achievable rate $r_i$ of each UE $i$ (expressed as $r_u$ for the UAV) is upper bounded by the Shannon’s capacity $C_i = B_i \log_2(1 + \text{SINR}_i)$ and is a function of the selected physical-layer coding and modulation scheme $m_i$ [29]. In Section 1, we illustrated a series of applications that rely on the availability of a cellular-connected UAV to stream a live video of a specific Point of Interest (POI). It is reasonable to think, thus, that one of the drone’s application requirements is to be within the coverage area of the POI. Being POI a 3D location in space $l \in \mathcal{L}$, we express this application-specific constraint in the form of respecting a maximum distance $d_{\text{max}}$ from the POI. Last, we assume that the drone serving BS $j_u$ is selected upon a given policy by the ISP, as it happens for terrestrial users, and it is known at any given time.

From a network optimization perspective, adopting the drone’s location $l$ and its transmission directionality $\delta$ as network control variables, the cellular CDCP can be formulated as follows:

$$\begin{align*}
\text{maximize} & \quad r_u + \sum_{j \in \mathcal{J}, j \neq j_u} \sum_{i \in I} r_i, \\
\text{subject to} & \quad r_i > r_{\text{min}}, \quad \forall i \in I \\
& \quad r_u > r_{\text{app}}, \\
& \quad |l - \hat{l}| < d_{\text{max}}.
\end{align*}$$

The CDCP problem formulated in (3)-(6) aims at maximizing the aggregate cellular users’ uplink throughput. Specifically, the objective of CDCP is to maximize the total uplink data rates of all users that are co-scheduled (i.e., having an overlapping frequency band) with the UAV, including the UAV itself. Optimizing throughput for other users is outside the scope of this work. By maximizing the aggregate users’ rate, the CDCP in (3)-(6) is robust against solutions that over-penalize a few users for the sake of overall uplink network throughput. The rationale behind this intrinsic design choice is two-fold. First, in the limited-band regime, limited-band users are more sensitive to performance drops caused by additional UAV interference due to their already limited data-rate. Second, in commercial cellular deployments, limited-band users are generally grouped in highly populated cells and usually outnumber wide-band users that are instead served by under-populated cells. Accordingly, by solving the CDCP for the maximum uplink network throughput guarantees reduced interference share toward small-bandwidth users (which are the majority and are the
more sensitive toward throughout drops) while most of the UAV’s interference share is handled by a few ground users that benefit from large bandwidth chunks and are less sensitive to data-rate drops. This control solution thus intrinsically provides fairness to the system by protecting the majority of the carrier’s paying subscribers, which populate dense cells and are less robust to data-rate drops.

As an example, referring to Fig. 3b, the CDCP maximizes uplink rates of users $i_2$ and $i_4$, which share their whole bandwidth with the interfering UAV, i.e., $\bar{x}_{iu} = 1$, together with the partially overlapping rate of users $i_3$ and $i_5$ ($0 < \bar{x}_{iu} < 1$). On the other hand, the rate of user $i_u$, for which $\bar{x}_{iu} = 0$, is factored out of the CDCP, whereas rate of user $i_3$ is a constant of the CDCP and has been excluded from the formulation for this reason. Moreover, the CDCP prioritizes limited-band users, e.g., user $i_2$ and $i_3$, over large-band users such as $i_4$ and $i_5$. Together with the maximization function (3), we expressed constraints regarding the minimum per-user uplink QoS (4), the minimum UAV application-specific uplink data-rate (5), and the maximum distance with respect to a given POI (6). In other words, by selecting the optimal drone’s location and its transmission directionality, the CDCP (3)-(6) aims at minimizing the UAV’s impact on bandwidth-sensitive ground UEs, while guaranteeing application-layer requirements to the drone.

The CDCP formulated in (3)-(6) is, however, hard to solve for the following reasons:

(i) The time-varying, fine-grained information about $x_i$ and $m_i$ are determined by the BSs’ MAC schedulers and PHY implementation which are often not exposed to a drone controller residing outside those BSs, due to transport overhead and/or proprietary PHY/MAC implementations [24].

(ii) Even though the latest development in the industry is pushing some PHY/MAC BS’s control logic to be exposed to the northbound controller (e.g., the Open-RAN Intelligence Controller [2]), the scheduling and rate-adaptation decisions are re-calculated in every transmission interval (e.g., 1 ms). It is therefore unpractical for a solver to use this information in time, and for the drone to physically relocate to a new location in space with the instantaneous variations of $x_i$ and $m_i$. As a result, the coefficients $x_i$ and the modulations $m_i$ in (3)-(6) are not only outside the control of the drone controller, but are non-observable.

(iii) In a real cellular network, ground users frequently relocate. Their mobility, even within the very same cell, changes their uplink signal strength at the serving BS at a fast pace. This makes their received power $P_i$ and thus their uplink rate $r_i$ in (3)-(6) change in real-time. Under this condition, the solver might calculate optimal solutions that, when implemented, do not reflect the current network state.

**CDCP Reformulation:** To address these challenges, we reformulate the CDCP in (3)-(6) by employing information that is changing at a relatively slower pace and is feasibly retrievable at the Internet Service Provider (ISP) in an Open-RAN architecture [2]. Specifically, we explore the network parameters that are described in the following. Let us first define a time period $T$. The following variables are long-run averages defined over this time interval.

Let $\bar{w}_j$ be the average number of users at a cell $j$ (also known as cell’s load). On an Open-RAN architecture, this information can be retrieved at intervals as short as 1 second. Then, we assume that under a proportional fairness scheduler, each of the $\bar{w}_j$ users served by BS $j$ will be allocated average bandwidth resources equal to $\bar{B}_j = B/\bar{w}_j$. Further, let $P_j^u$ be the aggregate uplink signal power received at BS $j$ over band $B$ in absence of UAVs. This information can be calculated using statistical users’ distribution and the average cell load $\bar{w}_j$, that is, the average number of RRC connected UEs. Accordingly, the average UAV’s uplink SINR at its serving BS $j_u$ can be expressed as $\text{SINR}_{u}(l, \delta) = \frac{P^u(l, \delta)}{NB_j}$, where $P^u(l, \delta)$ is the received UAV signal power at the associated BS $j_u$. The latter can be evaluated from the UAV location $l$ and its transmission directionality $\delta$. The average SINR for other users $i \in I_u$, can be defined as $\text{SINR}_i = \frac{P_i}{B}$ and is considered a constant of the CDCP. Similarly, the average uplink SINR for ground users served by BS $j \neq j_u$ is defined as $\text{SINR}_j(l, \delta) = \frac{P_j}{NB_j + P^u(l, \delta)}$ where $P^u(l, \delta)$ is the received UAV interference power at the BS $j \neq j_u$, which is a function of the UAV’s location $l$ and transmission directionality $\delta$ relative to the location of BS $j$. The CDCP (3)-(6) can thus be reformulated as follows:

$$\begin{align*}
\text{maximize} & \quad B_u \log_2 \left(1 + \hat{\text{SINR}}_u(l, \delta)\right) + \sum_{j \in J / j_u} B \log_2 \left(1 + \hat{\text{SINR}}_j(l, \delta)\right), \\
\text{subject to} & \quad \hat{\text{SINR}}_j(l, \delta) > \text{SINR}_{\text{min}} \quad \forall j \in J / j_u, \\
& \quad \hat{\text{SINR}}_u(l, \delta) > \text{SINR}_{\text{app}}, \\
& \quad |l - l_l| < \text{dis}_{\text{max}},
\end{align*}$$

where (7) is the statistical average over $T$ of (3) and (8)-(9) are the statistical averages of constraints (4)-(5) over $T$.

Different from the variables involved in (4)-(5), the parameters $P^u$, $\mu_j$, $\tilde{P}_j$, $\tilde{w}_j$, and $\tilde{B}_j$ employed in our reformulation are statistical averages that help us avoid the dependency on the non-stationary instantaneous terms. Moreover, all the parameters employed in our reformulation are fully observable. Indeed, $P^u$ and $\mu_j$ can be calculated knowing the drone’s location $l$ and its transmission directionality $\delta$, while the average received uplink powers $\tilde{P}_j$, cell loads $\tilde{w}_j$, and the average users’ band occupancy $\tilde{B}_u$ can be retrieved through the BSs’s control APIs available on Open-RAN architectures or calculated by the ISP using statistical UEs’ distributions.

With $T$ correctly dimensioned, the CDCP reformulation (7)-(10) features observable and easily retrievable network parameters. Specifically, $T$ must match three constraints and should be dimensioned accordingly. $T$ must be larger than the latency to retrieve information from the neighboring BSs, in the order of 1 second in modern Open-RAN architectures [2]. $T$ must also be larger than the mathematical solver runtime employed to solve the optimization problem. This last constraint depends on the hardware and software configuration used to execute the mathematical solver. We will see later that this parameter is in the order of seconds in our prototype and is expected to be in the order of 1 second for commercial hardware and software configurations. Last, $T$ must be dimensioned to catch substantial users’ macro
mobility patterns throughout the day, e.g., the home to office commute, or traffic load shifts between cells due to events and gatherings. This last constraint is deployment-dependent and should be evaluated carefully, depending on the traffic load dynamics. In most cases, $T \leq 10$ minutes is a satisfactory range. With $T$ correctly dimensioned, for example in the order of minutes, the CDCP (7)-(10) can always be solved in a timely fashion and the solution can be effectively implemented by an ISP. Finally, at every interval $T$, the problem is to be solved once again for a new optimal solution.

**Solving the CDCP.** From an optimization standpoint, the CDCP (7)-(10) features five control variables (the three dimensions of the UAV location and the two dimensions of the TX direction) and an optimization function with many local minima valleys separated by large barriers (without loss of generality we negate (7) to solve the CDCP via convex optimization). Here, for local minimum we intend a control variables’ combination whose function is lower than a set of solution points around it, and for valley, the set of these solution points separated by the large barriers. The intuition that we leverage to solve the CDCP with a bounded number of parallel solvers is that, in a physical network deployment, the high barriers of the utility function (7) are for TX directions pointing at neighboring BSs and some UAV locations in the delimited area near the POI. Accordingly, the valleys that contain the local minima solutions are for TX directions in between two neighboring BSs (and some initial UAV location in space). Accordingly, the number of minima of the function (7) is in the order of the number of interfered BSs in the surrounding; $O(|\mathcal{J}|)$. As wireless carriers carefully chose BSs sites to exploit spatial diversity and limit inter-cell-interference at any given band, we argue that the number of interfered BSs in the surrounding $|\mathcal{J}|$ is bounded and finite for any deployment. Most importantly, this number does not scale with the size or density of the deployment or frequency band in use. In fact, in commercial cellular networks, the UEs’ output power is regulated by the 5G NR standard, while a more aggressive path loss compensates for the deployment density at higher frequencies. Consequently, the maximum ‘number of BSs interfered by the UAV’ is bounded and constant at any 5G band and in any BSs deployment scenario. Accordingly, the number of local minima of our function is also bounded, which ensures the formulated CDCP (7)-(10) can always be solved in a timely fashion. To practically solve the CDCP, we employ a global convex optimization solver of the kind basin-hopping. Specifically, knowing the approximate characterization of the solution space, we solve the CDCP by searching the valleys in the directions between interfered neighboring BSs. We use a pool of $|\mathcal{J}|$ convex optimization solvers that can run in parallel. When the solvers return the value of the found local optimal operational point, the global optimizer compares them and returns the global optimal solution to be implemented.

With peak cruise speeds of 20 m/s, the UAV only takes a few seconds to relocate and implement the new solution. Lastly, the proposed CDCP formulation is flexible enough to accommodate stringent streaming application requirements, e.g., $\text{dis}_{\text{max}} = 0$, or to solve for the best achievable drone QoS should the CDCP become infeasible, by progressively relaxing constraint (9). Next, we propose a control system architectural solution to practically solve CDCP (7)-(10) in real RANs.

### 4 Control System Design

To realize the optimal solution obtained by solving the CDCP above, we envision here a control service that is integrated with the cellular network infrastructure and that has access to both statistical and real-time information from multiple eNBs within a market region [17], [22]. Some statistical and real-time information that is relevant for our drone control service is cell configuration (e.g., BS’s location, antenna sector, and frequency band) and cell load (e.g., number of concurrent active users, averaged over every 1s interval), respectively. Such control system is architecturally consistent with several efforts in the O-RAN Alliance [2] that envisages a Radio Access Network Intelligent Controller (RIC) platform deployed by the cellular operator that will host such services [11].

**Control System’s Operations:** The envisioned control system, depicted in Fig. 4, features a mathematical solver that is in charge of solving the CDCP formulated in Section 3 in near-real-time, that is, based on the current state of the network. Further, the service is in charge of relaying the computed optimal solution to the UAV over the same cellular links the drone is connected to, in an integrated-service-and-control fashion. The main operations of our control system are the following:

(i) The drone sends information to the control service about the POI’s location, the application data-rate requirements, and the maximum tolerable distance from the POI ($\text{dis}_{\text{max}}$).

(ii) Based on the drone’s requirements, coupled with the network information (cell loads and topology), the Open-RAN control service computes the optimal solution (drone location, and drone’s transmission directionality) by solving CDCP (7)-(10).

(iii) The service sends back the computed solution (drone’s best location, and best transmission directionality) to the drone.

(iv) The drone autonomously implements the computed optimal solution that guarantees the application data-rate and location requirements while minimizing its impact on the ground users in the surrounding.

(v) To cope with the changes in network load, the control service will periodically recalculate optimal solutions at every time interval $T$, and communicate the new solution to the drone. At the same time, if there are any application requirement changes (e.g., new uplink QoS and/or a new POI), the drone will send these updates back to the control service which will directly proceed to a new calculation.

![Fig. 4: Proposed Open-RAN-based control system.](image-url)
5 PERFORMANCE EVALUATION

In assessing the performance of the proposed control scheme, we start with an experimental evaluation on a full-stack, multi-cell LTE cellular network testbed. Our LTE-based analysis adopts the same 5G low- and mid-band frequencies suggested for drone cellular communications (FR1) [1]. To the best of our knowledge, this is the first real-world experiment of a connected-drone control system on a full-stack RAN testbed outdoors. Then, we extensively assess the performance of the proposed control scheme on a series of realistic large-scale deployments through simulation. In doing so, we utilize the commercial deployment topology and actual cell-load profile of a major US cellular carrier in several representative scenarios.

5.1 Small-scale Real-world Experiments

Experimental Setup. For our experiments, we prototype a multi-eNB LTE cellular network testbed using srsLTE which is an open-source, full-stack implementation of LTE for Software-Defined Radios (SDRs) [18], [32]. Our fully controllable LTE cellular testbed constitutes the following components.

- Software stack: The srsLTE suite comes with three modules, namely srspec, srsenb, and srssue, that implement the LTE-compliant protocol stacks for the core network, the eNB, and the UEs, respectively.
- Host machines: Each of the components above is hosted on an Intel NUC computer. The Intel NUC is a commercial Mini PC, whose compact dimensions and good computational capabilities (Intel Core i7 CPU with 32 GB RAM) make it particularly suitable even to be carried on board of an UAV.
- Radio front-ends: We use the Universal Software Radio Peripheral (USRP) B210, a high-bandwidth, high-dynamic range SDR designed to operate from DC to 6 GHz frequency.

The deployment topology of our network consists of 3 eNBs covering 37500 ft² of outdoor space and a mixed set of 3 UEs as illustrated in Figs. 1, 2a, 2c, 6a, and 8a. In our deployments, each eNB serves one UE that is located approximately 25 ft away, with the eNBs located around 150 ft apart. The eNB antennas are placed at 10 ft from the ground using adjustable tripods, while the UE devices are placed at the ground level. Each eNB and UE uses a pair of antennas VERT 2450 for transmission and reception over the air, whose radiation patterns can be found at [36]. Further, UE 1 is tethered to a UAV as shown in Fig. 5b. In our proposed solution, UE 1 employs a directional log-periodic LP0965 antenna at the TX side as shown in Fig. 5b. The LP0965 module is a wide-angle log-periodic directional antenna with 90° of horizontal aperture and 6 dBi of forward gain, whose specifications can be found at [35]. We designed and assembled a UAS-based UE by mounting a companion computer (Intel NUC) and a USRP B210 SDR on a DJI M100 drone. For the drone flight and motion control, we employed the Pixhawk flight controller board and the PX4 flight control firmware. The schematics of the prototyped aerial UE are illustrated in Fig. 5a. Lastly, to mimic the blockage and shadowing effect of typical cellular deployments in our experimental motivation section (see Sec. 2, Fig. 1) we use a large van positioned right behind the UAV. This way, when the UAV is on the ground, it will experience propagation patterns similar to traditional ground UEs, that is, being in full LoS with at most one eNB only.

The 3-eNB network operates on LTE Band 7 where the uplink and downlink central frequencies are at 2535 MHz and 2655 MHz, respectively (which also corresponds to 5G NR band 7). To achieve a more robust testing deployment, we intentionally limit the operational bandwidth of the uplink and downlink directions to 3 MHz (15 PRBs). This reduces the pressure on SDR’s host-based baseband processing, prevents user disassociation, and limits the interference...
This consists of 3 eNBs — eNB 1, eNB 2, and eNB 3; and 25 cells and create uplink inter-cell interference. We implement our control system using the python’s scipy.optimize suite and calculate the control solution using the scipy.optimize.basinhopping method [34].

Effectiveness. In testing the proposed control solution, we start from the deployment topology reported in Fig. 6. This consists of 3 eNBs — eNB 1, eNB 2, and eNB 3; and 3 UEs — the UAV, UE 2, and UE 3. Due to the dominant LoS conditions of our testing scenario, the UEs serving cell is selected as the nearest one for all our real-world experiments. Herein, we assess the performance of the proposed Open-RAN-based control (Opt) against two control schemes that do not access comprehensive RAN infrastructure information and operate traditional intra-cell performance optimization. These other two control schemes are BS-constrained, meaning that they aim at maximizing the uplink throughput performance of each BS, independently. These are: (i) No Control (NC), which adopts the POI as optimal drone location and a fixed, random transmission directionality. For this, we average the measurement across the 180° in the direction of the serving BS; and (ii) Optimal Serving Angle (OSA), which envisions the Point of Interest (POI) as optimal UAV’s location and the direction toward the serving BS as TX directionality. For each control scheme, we measure the individual users’ and the overall network performance.

In our experiment, the drone specifies a POI in between cell 1 and cell 2 demanding a maximum distance of 30 ft from it. For these scenarios, we specify a minimum drone’s QoS of 2Mbps. The deployment scenario for this experiment is illustrated in Fig 6a. We run a 1-minute-long experiment to assess the proposed control scheme and the two baselines, and report the individual UEs throughput together with the aggregate network performance in Fig 6b. When adopting the Open-RAN-based control solution, the drone achieves higher throughput and overall better network performance as reported in Fig. 6b. Over 10 1-minute long experiments, the UAV, UE 2, and UE 3 achieve +0.69 Mbps, +0.14 Mbps, and −0.04 Mbps with respect to OSA, and +1.14 Mbps, +0.16 Mbps, and −0.13 Mbps with respect to NC. The average performance results are reported in Fig. 7 (left). Overall, our approach improves the network performance by +16% and +25% with respect to OSA and NC while guaranteeing the UAV’s uplink QoS and application requirement distance from the POI.

Adaptability. Under the Open-RAN-based control solution, the drone operates with the guarantee of satisfactory QoS and optimized network performance. This holds for the whole time interval \( T \) as long as the UAV’s application requirements stay unchanged (POI and QoS). At every new time interval \( T \), the control system retrieves new network parameters from the Open-RAN architecture and proceeds to the calculation of a new optimal solution. We evaluate the adaptability of the proposed control system to changing network conditions by inducing a network load change in our deployments. To induce a significant change in cell load, we move UE 3 from cell 3 to cell 2. This way, we double the cell load on eNB 2 and reduce the load on eNB 3 to zero, which
induces a major load shift in our system. This perturbation emulates stationary geographical traffic shifts throughout the day, such as from business to residential districts after office hours. With this experiment, we demonstrate how the proposed control approach allows the computation of a new operational point at every interval $T$ by dynamically adapting to the changing network conditions. Accordingly, we assess the performance of our solution, as well as the two BS-constrained optimization schemes NC and OSA after a network change. Unlike the proposed Open-RAN control solution, these control schemes are unable to implement a network-wide optimal policy, and can only focus on intracell performance optimization. This, in turn, disregarding the interference implications on the rest of the network and results in poorer network performances. Fig. 8 illustrates the new network deployment (Scenario 2) and the corresponding network performance analysis. By dynamically adapting to the new network conditions, the proposed control scheme calculates and implements a new optimal solution that guarantees superior performance for both the UAV and the other ground UEs as reported in Fig. 8b. In this new scenario, the UAV, UE 2, and UE 3 achieve +0.53 Mbps, +0.1 Mbps, and +0.1 Mbps with respect to OSA, and +1.28 Mbps, +0.14, and +0.06 Mbps with respect to NC. Overall, the proposed Open-RAN control solution achieves +36% and +52% network throughput over the OSA and NC control schemes.

Flexibility: Last, we are interested in quantifying the benefits of adopting a proactive network control system that dynamically adapts to the changing network conditions in neighboring cells at every interval $T$. As mentioned above, the proposed control system takes full advantage of Open-RAN architectures to retrieve information from neighboring cells every time interval $T$, and implement network-wide optimal solutions that satisfy the uplink QoS of the UAV while optimizing the performance of the ground UEs attached to the RAN. This new system is thus different from traditional optimization policies that are constrained to individual BSs (the control scheme OSA, and OLA and OSLA that we will introduce later). Additionally, our system allows solving the formulated CDCP with a time granularity $T$ that can be dimensioned accordingly to the dynamics of the network deployment, such as users’ mobility patterns. Our control solution allows different systems to implement different optimization cycle granularity, depending on the specific needs. For example, slowly changing network environments might allow longer $T$, while highly dynamic network deployments with many substantial traffic load changes per day should adopt faster control loop intervals. Here, we quantify and report the benefits of adopting a faster optimization interval $T$ with respect to a slower optimization interval. We report the performance toll paid by a slower optimization system with respect to a faster optimization system by quantifying the network performance drop that occurs after a major traffic load change. To support the need for dimensioning $T$ according to the network system’s dynamics, we compare the performance of two control systems right after a major traffic load change. As an example, we compare a system with shorter optimization interval ($T = 5$ minutes) with respect to a system with a longer optimization interval ($T = 10$ minutes). Fig. 9 reports a network throughput drop of 6% for the system with a longer optimization interval. This performance gap translates into a 120 Mbps uplink throughputs loss per second on a commercial network every time there is a major traffic load change. As such traffic load changes might occur several times a day in highly dynamic network deployments, it is more appropriate for these environments to select a shorter value of $T$ and have a more reactive network control system.

5.2 Large-scale Simulation Analysis

Scalability. Here, we assess the performance of our solution on a series of large-scale, realistic cellular deployment scenarios. For this task, we simulate three commercial deployment topologies of a major US cellular carrier: rural, suburban, and urban. These differ in terms of scale, intercell distances, traffic loads, and cell deployment density. Accordingly, we assume that $T$ is correctly dimensioned to respect the specific network deployment’s dynamics, and we make the wireless carrier’s commercial cell-load profiles available to our solver. In line with the CDCP discussion, we assume our simulated control system to be oblivious with respect to the individual ground users’ traffic rates (i.e., the $r_i$ terms in CDCP (3)–(6)) and thus treat the cell-load profiles in terms of the average number of RRC connected UEs (i.e., the $\overline{w}_j$ terms in CDCP (6)–(10)). Accordingly, in the following simulation results, we report the overall network performance in terms of overall uplink network capacity calculated by our control solution. This is an upper bound to the overall network throughput performance that ultimately guarantees superior performance for both the UAV and the other ground UEs as reported in Fig. 8b.
depends on the individual user’s traffic requirements, which are non-observable by the CDCP solver.

An anonymized illustration of the three deployment scenarios is reported in the top part of Fig. 10. This assessment adds a high degree of realism to our evaluation and is aimed at quantifying the gains of the proposed control approach on a real production network.

For our large-scale evaluation, we compare the performance of the Open-RAN-based control scheme (Opt) with NC, OSA, and two additional BS-constrained control schemes: i) **Optimal Serving Location (OSL)**, which favors the drone’s application data-rate by envisioning the drone hovering as close as possible to the serving BS with a fixed random transmission directionality (we average the results over the 180° in the direction of the serving BS); and ii) **Optimal Serving Location and Angle (OSLA)**, which maximizes the drone’s application data-rate envisioning the drone hovering as close as possible to the serving BS with transmission direction pointing at the serving BS. While the proposed Open-RAN-based solution (Opt) can employ network-wide deployment and cell-load information, the 4 BS-constrained control schemes (NC, OSA, OSL, OSLA) perform traditional intra-cell optimization logic, and are thus unable to capture and address the impact of the UAV on terrestrial users in the surrounding cells. For all the deployment scenarios, we assess the performance of the above control approaches for 20 different random POIs. In solving the CDCP for these realistic scenarios, we consider as drone’s serving cell $j_u$, the cell with one with the strongest signal path according to published aerial channel models [5]. Without loss of generality, we assume all cells operating on the same carrier frequency and use commercial cell load profiles for a given band. The anonymized cell load profile for the three deployments is the following. Rural: 57, 108, 36, 147 UEs; Suburban: 48, 135, 27, 36, 357, 261, 168 UEs; Urban: 120, 30, 21, 57, 129, 195, 60, 27, 54, 60, 126, 99, 75, 45, 30, 123, 90, 18, 90, 86, 33, 198, 78 UEs. Lastly, we express the UAV’s uplink requested QoS as 5 Mbps (e.g., to support a 1080p and 60 fps stream), a maximum tolerable distance from POI of 500 ft, and a minimum SINR at the neighboring cells of 25 dB. We constrain the minimum drone’s flight altitude according to the deployment’s average building height (65 ft for rural and suburban, 165 ft for urban).

For each experiment, we measure the per-cell aggregate ground users’ uplink throughput and the overall compound uplink network capacity (in Gbps). We report the average performance for the three scenarios and the 5 control schemes in Fig. 10. The proposed Open-RAN-based control solution outperforms all the BS-constrained control schemes in all the considered deployment scenarios. The proposed approach reports uplink network capacity improvements over the second-best performer as high as 23 Mbps, 128 Mbps, and 223 Mbps for rural, suburban, and urban deployments. These translate into an overall increased network capacity of 7%, 25%, and 12%. On average, the proposed control system based on Open-RAN architectures achieves +19% uplink network capacity increase over the other control schemes that implement traditional intra-cell optimization approaches on real, commercial network deployments, while satisfying target location and HQ video-streaming requirements for the UAV.

### 6 RELATED WORK

While interference mitigation for exposed terminals in wireless networks is a well-studied subject [10], [23], [42], cellular-connected drones present some fundamental differences. A cellular-connected drone hovers at unprecedented altitudes for a UE (different from pedestrians or users in tall buildings), it is highly mobile (unlike users in hot air balloons or high up a hill), and its applications demand high data-rates (unlike high-altitude sensors). Thus, previous interference cancellation studies are hardly applicable to this scenario, which remains under-explored. The difficulty of extending the ground-tailored wireless infrastructure to UAVs and the resulting A2G and ground-to-air (G2A) interference conditions have been highlighted in several works [7], [19], [25], [27], [31], [39], [41], [43]. Some produced propagation aerial models, setting the ground for future aerial network research [21], [33]. Some other works proposed interference mitigation solutions for cellular-connected UAVs. [20] proposed a multi-antenna interference cancellation for the downlink channel while [28] proposed a cooperative non-orthogonal multiple access (NOMA) technique to mitigate the uplink interference at ground BSs. These approaches fail to provide a proactive solution for interference-prone A2G communications, leaving the burden of interference cancellation to complex reactive BS cooperation schemes. The use of directional transmitters at the UAVs is suggested in [6], [26] to improve both uplink and downlink communications. Directional transmission for UAV-based UEs is also proposed in [9], where Bertizzolo et al. design a cooperative control mechanism to optimally control the trajectory and the orientation of the drone’s transmission to mitigate the interference toward neighboring cells when the drone relocates from one way-point to
another. These approaches focus on characterizing the benefits of directional transmitters and motion control without considering the specific bandwidth and application-specific requirements. On the other hand, other works have explored UAV location control for wireless network optimization outside the cellular paradigm [8], [14], [15], [38], [40]. Unlike these works, we contextualize UAV-based UE broadband connectivity problem into the Open-RAN paradigm and approach it from an ISP’s standpoint. Here, we exploit the architectural advantage of Open-RAN architectures to address the need for a drone-specific control solution that supports location and data-rate requirements for aerial video streaming applications.

7 Conclusions and Final Remarks

We introduced a new closed-loop control solution for Open RANs to support drone-based video streaming applications on commercial 5G cellular networks. In the proposed control system, a UAV-based UE contacts a service at the Open-RAN controller for a satisfactory location and transmission directionality that support the application-specific location and QoS requirements. Exploiting the architectural advantage of Open-RAN controllers, the service computes and returns a solution that maximizes the overall uplink network performance and matches the UAV’s required QoS. The proposed approach features 5G compatibility, low overhead, and user privacy. We assess the proposed solution on a real-world, outdoor, multi-cell RAN testbed. Further, we perform at-scale simulations employing the commercial cell deployment topologies and cell load profiles of a major U.S. carrier. We prove effectiveness of the proposed control system (average 19% network capacity gain), adaptability to dynamic network conditions, and scalability to real-world deployments. We conclude this paper with a few final remarks.

Standard APIs. In this article, we proposed a control system that enables drone-sourced video streaming without requiring any modification to the 5G protocol stack. Full integration of the proposed solution into commercial applications that guarantees the properties of low-overhead and user-privacy asks for standardized APIs. This will enable vendor-agnostic support and help the development of drone-enabled cellular networks. Similarly, Open-RAN compliant signaling procedures (e.g., based on O-RAN) are needed for cell-load information retrieval.

Drone Mobility. To preserve users’ privacy, the proposed solution does not implement mobility control or tracking. The implementation of the computed new location solution is instead left to the drone. This makes our approach, if you will, easily extendable and compatible with other trajectory control solutions and previous work on the subject, e.g., [9].

Directional Transmitters. Last, we care to remark that the proposed approach is directional transmitter-agnostic. While wide-angle directional TXs today are a more scalable and cost-effective solution for UAVs in FR1, the proposed approach will easily support highly-directional communications in FR2 and represents a ready-to-go solution for when NextG networks will support high directionality in FR1.

Future Work. In the future, we will take these research directions:

Multiple UAVs: We intend to extend the current solution support to multiple UAVs. For this, we will model the aerial UAV-to-UAV interference and, accordingly, extend the current CDCP formulation to support multi-drone optimization functions.

Specific Application Requirements: We will also focus on how to satisfy video streaming applications with specific requirements such as particular shooting angles and optimize the scenarios where surrounding objects or buildings could obstruct the view of the POI from certain locations in space.

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References


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